

17302 U.S. PTO  
10/657829  
09/09/03

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

<i>Attorney Docket No.</i>	86017.000037
<i>First Inventor</i>	Krivitski, Nikolai
<i>Title</i>	CATHETER WITH AND INDICATOR
<i>Express Mail Label No.</i>	EV 105863615

See MPEP chapter 600 concerning utility patent application contents.

**Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450**

- |   |   |
|---|---|
| <p>1. <input checked="" type="checkbox"/> <b>Fee Transmittal Form</b> (e.g., PTO/SB/17)<br/>(Submit an original and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> <b>Applicant claims small entity status.</b><br/>See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> <b>Specification</b> [Total Pages <input ]<br="" type="text" value="33"/>(preferred arrangement set forth below)</p> <ul style="list-style-type: none"> <li>- Descriptive title of the Invention</li> <li>- Cross Reference to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of Disclosure</li> </ul> <p>4. <input checked="" type="checkbox"/> <b>Drawing(s)</b> (35 USC 113) [Total Sheets <input ]<="" p="" type="text" value="10"/> <p>5. <b>Oath or Declaration</b> [Total Sheets <input ]<="" p="" type="text" value="3"/> <p>a. <input type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))<br/>(for continuation/divisional with Box 18 completed)</p> <p>i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u><br/>Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input checked="" type="checkbox"/> <b>Application Data Sheet.</b> See 37 CFR 1.76</p> </p></p> | <p>7. <input type="checkbox"/> <b>CD-ROM or CD-R in duplicate, large table or Computer Program</b> (Appendix)</p> <p>8. <b>Nucleotide and/or Amino Acid Sequence Submission</b><br/>(if applicable, all necessary)</p> <p>a. <input type="checkbox"/> <b>Computer Reader Form (CRF)</b></p> <p>b. <b>Specification Sequence Listing on:</b></p> <p>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</p> <p>ii. <input type="checkbox"/> Paper</p> <p>c. <input type="checkbox"/> <b>Statement verifying identity of above copies</b></p> |
|---|---|

**ACCOMPANYING APPLICATION PARTS**

9. ☐ **Assignment Papers** (cover sheet & document(s))

10. ☐ **37 CFR 3.73(b) Statement** ☐ **Power of Attorney**  
(when there is an assignee)

11. ☐ **English Translation Document** (if applicable)

12. ☐ **Information Disclosure Statement** ☐ **Copies of IDS Citations**  
(IDS)/PTO-1449

13. ☐ **Preliminary Amendment**

14. ☒ **Return Receipt Postcard (MPEP 503)**  
(Should be specifically itemized)

15. ☐ **Certified Copy of Priority Document(s)**  
(if foreign priority is claimed)

16. ☐ **Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i).**  
Applicant must attached form PTO/SB/35 or its equivalent.

17. ☒ **Other:** Copy of recorded assignment from application No. 10/079,006

### ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement  
(when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement  
(IDS)/PTO-1449 ☐ Copies of IDS  
Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i).  
Applicant must attached form PTO/SB/35 or its equivalent.
17. ☒ Other: Copy of recorded assignment from application  
No. 10/079,006

- ☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 10/079,006  
 Prior application information: Examiner: Navin Natnithithadha Art Unit: 3736

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

☒ Customer Number: 23387 *OR* ☐ Correspondence address below

Name				
Address				
City	State	Zip Code		
Country	Telephone		Fax	

Name (Print/Type)	Brian B. Shaw	Registration No. (Attorney/Agent)	33,782
Signature		Date	September 9, 2003

The collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

09/09/03

*Effective 01/01/2003. Patent fees are subject to annual revision.*

☒ Applicant claims small entity status. See 37 CFR 1.27

<b>TOTAL AMOUNT OF PAYMENT</b>	<b>\$375.00</b>
--------------------------------	-----------------

**Complete if Known**

Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	Nikolai M. Krivitski
Examiner Name	Unknown
Group Art Unit	Unknown
Attorney Docket Number	86017.000037

**METHOD OF PAYMENT** (check all that apply)☒ Check    ☐ Credit card    ☐ Money Order    ☐ Other    ☐ None☒ Deposit Account:

Deposit  
Account  
Number

«DEPOSITNO»

Deposit  
Account  
Name

Harter, Secrest & Emery LLP

**The Director is authorized to:** *(check all that apply)*

☐ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, **except for the filing fee**  
 to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	750	2001	375	Utility filing fee	\$740.00
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

<b>SUBTOTAL (1)</b>	<b>\$740.00</b>
---------------------	-----------------

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

			Extra Claims		Fee from below		Fee Paid
Total Claims	18	-20**=	0	x	9	=	9
Independent Claims	3	-3**=	0	x	42	=	0
Multiple Dependent							

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	**Reissue independent claims over original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent

<b>SUBTOTAL (2)</b>	<b>\$2,652.00</b>
---------------------	-------------------

**\*\*or number previously paid, if greater: For Reissues, see above**

**FEE CALCULATION (continued)**

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge – late filing fee or oath	
1052	50	2052	25	Surcharge – late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive – unavoidable	
1453	1,300	2453	650	Petition to revive – unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt.	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)**

## SUBMITTED BY

Name (Print/Type)	Brian B. Shaw, Esq.
-------------------	---------------------

Registration No. (Attorney/Agent)	33,782
--------------------------------------	--------

Complete (if applicable)

**Telephone** (585) 232-6500

**Signature**

Date	September 9, 2003
------	-------------------

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) and application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, PO Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Commissioner for Patents**, PO Box 1450, Alexandria, VA 22313-1450.

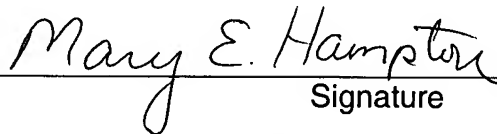
*If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.*

## **Certificate of Mailing under 37 CFR 1.10**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail in an envelope addressed to:

**Mail Stop Patent Application  
Commissioner for Patents  
P O Box 1450  
Alexandria, VA 22313-1450**

On September 9, 2003  
Date

  
Signature

Mary E. Hampton

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificates of mailing, or this certificate must identify each submitted paper.

Enclosed:

ADS (Application Data Sheet) (4 pgs.);  
Fee Transmittal Form – signed (1 pg.);  
Utility Patent Application Transmittal – signed (1 pg.);  
Specification, claims, and abstract (33 pgs.);  
Informal drawings (10 sheets) – identified;  
Check No. 100208 in the amount of \$375.00 for basic filing fee (1);  
Certificate of Express Mailing – signed (1 pg.);  
Express Mail Label No. EV 105863615 US;  
Acknowledgement postcard (1)

Re: 86017.000037